

Planned for audit in quarter			
1	2	3	4
15	5	0	0
10	15	0	25
0	20	0	0
0	5	20	25
5	0	0	0
0	10	0	0
0	0	0	10
15	0	0	0
20	0	0	0
0	0	15	0
65	55	35	60
0	0	20	0
0	0	0	0
30	0	0	0
0	25	0	0
0	0	0	20
0	0	15	0
30	25	35	20
0	0	20	0
0	0	20	0
20	0	0	0
0	0	50	0
0	0	0	20
0	0	0	0
0	0	0	0
0	15	0	0
0	10	0	0
0	0	20	0
0	0	20	35
0	0	0	0
0	5	0	0
0	0	10	0

Status at Audit Committee in	
June	September
Fieldwork	Closed
Fieldwork	
Fieldwork	
Fieldwork	
Fieldwork	Draft
Final report issued	
Fieldwork	Draft
	Fieldwork
Ongoing	Completed
Draft report issued	Final report issued
Final report issued	
	Researching and scoping

Driving improvements - value for money audits	TBC	30	30	Personal review process	5
				Review of overtime	10
System Development	TBC	30	30	System Development	30
Insurance	Assurance	10	10	CRSA 2018/19	4
				In-year testing 2017/18	6
Mileage & subsistence	Assurance	10	10	Mileage & subsistence (including pool cars)	10
Stores	Assurance	10	10	Stores	10
Health and Safety	Assurance	10	10	Health and Safety	10
Business Continuity	Assurance	15	15	Business Continuity	15
Total		315	305		305
Service specific audits	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
Planning, Transport and Environment	Assurance	190	190	Partnerships and collaborative governance	20
				Commissioning and Procurement	20
				Budgeting and forecasting	20
				Value for Money	20
				Section 106 agreements	10
				Flood risk management	10
				Follow up audits	10
				Payroll issues - additional payments	10
				Weighbridge	10
				Stock checks (CTS)	5
				Asset Management	10
				To be allocated following further discussion with Director	45
				Commissioning and Procurement	0
				Governance	0
Effective Decision Making	0				

0	5	0	0
0	0	0	10
0	0	0	30
0	0	0	4
0	0	0	6
10	0	0	0
10	0	0	0
0	0	0	10
15	0	0	0
55	35	100	115
20	0	0	0
0	0	20	0
0	20	0	0
0	0	0	20
0	10	0	0
0	10	0	0
2.5	2.5	2.5	2.5
0	10	0	0
10	0	0	0
0	0	5	0
0	0	10	0
0	20	5	20
0	0	0	0
0	0	0	0
0	0	0	0

	Researching and scoping
Fieldwork	
Fieldwork	
Fieldwork	Draft
Final report issued	
Final report issued	
Final report issued	

				Value for Money	25
				Regional Social Care Training Unit	15
				Commissioning of independent investigations	20
				Domiciliary care	15
				Follow up audits	10
				Safeguarding arrangements	20
				Asset Management	10
				To be allocated following further discussion with Director (following appointment)	130
				Commissioning and Procurement	0
				Governance	0
				Effective Decision Making	0
Total		1,200	1,210		1,210
External	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
External clients	Assurance	10	13	Cardiff Further Education Trust Fund	2
				Joint committees (Glamorgan Archives, Prosiect Gwyrd and Port Health)	10
				WLGA	5
				City Deal 2017/18	0
				City Deal 2018/19	5
				Rent Smart Wales - training	3
Grants	Assurance	20	20	Norwegian Church Trust Fund	0
				Other grants	8
				Education Improvement Grant	0
				Flying Start	0
Total		30	33		33
Contingencies	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
General Audit		30	28	General Audit	15
				National Fraud Initiative	13
Total		30	28		28
Management	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
Support for Audit Committee / liaison with WAO	Consultation	50	50	Support for Audit Committee / liaison with WAO	50
Assurance mapping	Consultation	10	10	Assurance mapping	10
CRSA development	Consultation	20	20	CRSA development	20
Work requested by Audit Manager	Consultation	20	20	Work requested by Audit Manager	20
Audit Planning and monitoring	Consultation	20	20	Audit Planning and monitoring	20
Process Development	Consultation	20	20	Process Development	20
Review of Financial Rules etc	Consultation	40	40	Review of Financial Rules etc	40
Consultancy - advice and guidance	Consultation			Consultancy - advice and guidance	
Total		180	180		180
Total chargeable days		2,100	2,101		2,100

0	0	0	25
0	0	0	15
0	20	0	0
15	0	0	0
2.5	2.5	2.5	2.5
0	20	0	0
0	0	10	0
30	40	20	40
0	0	0	0
0	0	0	0
0	0	0	0
296.5	356.5	281.5	275.5
0	0	0	2
10	0	0	0
5	0	0	0
0	0	0	0
0	1	2	2
0	2.5	0	0
0	0	0	0
3.5	2.5	1.5	0.5
0	0	0	0
0	0	0	0
18.5	6	3.5	4.5
0	0	7.5	7.5
12.5	0	0	0
12.5	0	7.5	7.5
12.5	12.5	12.5	12.5
2.5	2.5	2.5	2.5
5	5	5	5
5	5	5	5
5	5	5	5
5	5	5	5
10	10	10	10
45	45	45	45
523	523	528	528
525	525	525	525

Not yet started	
Ongoing	
Final report issued	
Final report issued	
Final report issued	
Fieldwork	Final report issued
Draft report issued	
Draft report issued	Final report issued
	Training delivered
Final report issued	
Fieldwork	Final report issued
Ongoing	Ongoing
Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing

