Audit Plan 2018/19

Fundamental Audits - S151 Assurance	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
				CRSA 2018/19	20
reditor Payments & Processing (including procurement)	Assurance	70	70	In-year testing 2017/18 and 2018/19	50
	<u> </u>				
ayroll	Assurance	70	70	CRSA 2018/19	20 50
	╉─────			In-year testing 2017/18 and 2018/19 CRSA 2018/19	50
Council Tax	Assurance	15	15		
ocal Housing Allowances	A	10	10	In-year testing 2017/18	10
ocal Housing Allowances	Assurance	10	10	Local Housing Allowances	10
ncome and Debtors	Assurance	15	15	income and Debtors	15
sset Management	Assurance	20	20	Asset Management	20
lousing Rents	Assurance	15	15	Housing Rents	15
Total		215	215		215
	Type of	Original audit	Revised audit		
Corporate Governance Assurance - Audit	engagement	plan	plan	Assignment	Days
udit of risk management arrangements	Assurance	20	20	Audit of risk management arrangements	20
duit of tisk management an angements	Assurance	0	0	Audit of risk management arrangements 2017/18	0
Audit of governance arrangements	Assurance	30	30	Audit of governance arrangements	30
udit of ethics and values	Assurance	25	25	Audit of ethics and values	25
	Assurance	25	25	Audit of ethics and values	25
udit of performance management	Assurance	20	20	Audit of performance management	20
udit of performance management udit of Information governance		20 15	20 15		20 15
udit of performance management udit of Information governance Total	Assurance Assurance	20 15 110	20 15 110	Audit of performance management Audit of Information governance	20 15 110
udit of performance management udit of Information governance Total rogramme and projects (including ODP)	Assurance	20 15 110 20	20 15 110 20	Audit of performance management	20 15 110 20
udit of ethics and values udit of performance management udit of Information governance Total trogramme and projects (including ODP) Total Other Assurance	Assurance Assurance	20 15 110	20 15 110	Audit of performance management Audit of Information governance	20 15 110 20 20
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total	Assurance Assurance Assurance Type of	20 15 110 20 20 Original audit	20 15 110 20 20 Revised audit	Audit of performance management Audit of Information governance Programme and projects (including ODP)	20 15 110 20 20
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance	Assurance Assurance Assurance Type of engagement	20 15 110 20 20 Original audit plan	20 15 110 20 20 Revised audit plan	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment	20 15 110 20 20 Days
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance	Assurance Assurance Assurance Type of	20 15 110 20 20 Original audit	20 15 110 20 20 Revised audit	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs	20 15 110 20 20 Days 20
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance	Assurance Assurance Assurance Type of engagement	20 15 110 20 20 Original audit plan	20 15 110 20 20 Revised audit plan	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated	20 15 110 20 20 Days 20 50
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance	Assurance Assurance Assurance Type of engagement	20 15 110 20 20 Original audit plan	20 15 110 20 20 Revised audit plan	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's	20 15 110 20 20 Days 20 50 50
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance iontract Audit	Assurance Assurance Assurance Type of engagement Assurance	20 15 110 20 Original audit plan	20 15 110 20 20 Revised audit plan 90	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's Education - SOP	20 15 110 20 20 20 50 50 0 0 0
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance iontract Audit	Assurance Assurance Assurance Type of engagement Assurance	20 15 110 20 Original audit plan	20 15 110 20 20 Revised audit plan 90	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's Education - SOP Cloud computing	20 15 110 20 20 20 50 50 20 0 0 0 15
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance ontract Audit ducation - SOP	Assurance Assurance Assurance Type of engagement Assurance	20 15 10 20 Original audit plan 100	20 15 10 20 Revised audit plan 90	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's Education - SOP Cloud computing Self-service permissions	20 15 110 20 20 20 50 20 0 0 15 10
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance ontract Audit ducation - SOP	Assurance Assurance Type of engagement Assurance Assurance	20 15 110 20 Original audit plan	20 15 110 20 20 Revised audit plan 90	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's Education - SOP Cloud computing Self-service permissions GDPR	20 15 110 20 20 20 20 50 20 0 0 0 0 15 10 20 0 20
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total Other Assurance ontract Audit ducation - SOP	Assurance Assurance Type of engagement Assurance Assurance	20 15 10 20 Original audit plan 100	20 15 10 20 Revised audit plan 90	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's Education - SOP Cloud computing Self-service permissions GDPR Not yet allocated	20 15 110 20 20 20 20 50 20 0 0 0 15 10 20 20 20 55
udit of performance management udit of Information governance Total rogramme and projects (including ODP) Total	Assurance Assurance Type of engagement Assurance Assurance	20 15 10 20 Original audit plan 100	20 15 10 20 Revised audit plan 90	Audit of performance management Audit of Information governance Programme and projects (including ODP) Assignment Training on new CSO&PRs Collaborative and partnership working Not yet allocated Cradle to Grave - Gulliver's Education - SOP Cloud computing Self-service permissions GDPR	20 15 110 20 20 20 20 50 20 0 0 0 0 15 10 20 0 20

110	nned for a	udit in qua	rter
1	2	3	4
15	5	0	0
10	15	0	25
0	20	0	0
0	5	20	25
5	0	0	0
0	10	0	0
0	0	0	10
15	0	0	0
20	0	0	0
0	0	15	0
65	55	35	60
0	0	20	0
0	0	0	0
30	0	0	0
0	25	0	0
0	25 0	0	0 20
0 0 0	25 0 0	0 0 15	0 20 0
0 0 0 30	25 0 0 25	0 0 15 35	0 20 0 20
0 0 0 30 0	25 0 0 25 0	0 0 15 35 20	0 20 0 20 0
0 0 0 30	25 0 0 25	0 0 15 35	0 20 0 20
0 0 30 0	25 0 0 25 0 0	0 0 15 35 20 20	0 20 0 20 0 0
0 0 30 0 0 20	25 0 25 0 0 0	0 0 15 35 20 20 0	0 20 0 20 0 0 0
0 0 30 0 0 20 0	25 0 25 0 0 0 0	0 0 15 35 20 20 0 50	0 20 20 0 0 0 0 0
0 0 30 0 0 20 0 0	25 0 0 25 0 0 0 0 0 0 0	0 0 15 35 20 20 20 0 50 0	0 20 0 20 0 0 0 0 0 20
0 0 30 0 0 20 0 0 0 0	25 0 25 0 0 0 0 0 0 0 0 0 0 0	0 0 15 35 20 20 20 20 0 50 0 0	0 20 0 0 0 0 0 0 0 20 0 0
0 0 30 0 0 0 20 0 0 0 0 0 0	25 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 15 35 20 20 20 0 50 0 0 0 0	0 20 0 0 0 0 0 0 20 0 0 0 0 0
0 0 30 0 0 20 0 0 0 0 0 0 0 0 0	25 0 25 0 0 0 0 0 0 0 0 0 0 0 0 15	0 0 15 35 20 20 20 0 50 0 0 0 0 0 0 0	0 20 0 0 0 0 0 0 20 0 0 0 0 0 0 0 0
0 0 30 0 0 0 20 0 0 0 0 0 0 0 0 0	25 0 25 0 0 0 0 0 0 0 0 0 0 15 10	0 0 15 35 20 20 20 20 50 0 0 0 0 0 0 0 0	0 20 0 0 0 0 0 0 20 0 0 20 0 0 0 0 0 0
0 0 30 0 0 20 0 0 0 0 0 0 0 0 0 0 0 0	25 0 25 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 15 35 20 20 20 0 50 0 0 0 0 0 0 0 0 0 0 0 0	0 20 0 0 0 0 0 20 0 0 0 0 0 0 0 0 0 0 0
0 0 30 0 0 20 0 0 0 0 0 0 0 0 0 0 0 0 0	25 0 0 25 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 15 35 20 20 0 50 0 0 0 0 0 0 0 0 20 20 20	0 20 0 0 0 0 0 20 0 0 0 0 0 0 0 0 0 0 0
0 0 30 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	25 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 15 35 20 20 20 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 20 0 0 0 0 0 20 0 0 0 0 0 0 0 0 0 0 0
0 0 30 0 0 20 0 0 0 0 0 0 0 0 0 0 0 0 0	25 0 0 25 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 15 35 20 20 0 50 0 0 0 0 0 0 0 0 20 20 20	0 20 0 0 0 0 0 20 0 0 0 0 0 0 0 0 0 0 0

Status at Audit Comm	nittee in
June	September
Fieldwork	Closed
Fieldwork	
Fieldwork	
TIELGWOTK	
Fieldwork	Draft
r leid work	brait
Final report issued	
Than report issued	
Fieldwork	Draft
	Fieldwork
Ongoing	Completed
Ongoing	Completed
Draft report issued	Final report issued
Draft report issued Final report issued	Final report issued
Draft report issued Final report issued	Final report issued
	Final report issued

Appendix B

oriving improvement value for woney statutes		50	50	Personal review process	5	0	5	0	0		Researching and scoping
				Review of overtime	10	0	0	0	10		0
System Development	TBC	30	30	System Development	30	0	0	0	30		
				CRSA 2018/19	4	0	0	0	4		
Insurance	Assurance	10	10	In-year testing 2017/18	6	0	0	0	6		
Mileage & subsistence	Assurance	10	10	Mileage & subsistence (including pool cars)	10	10	0	0	0	Fieldwork	
Stores	Assurance	10	10	Stores	10	10	0	0	0	Fieldwork	
Health and Safety	Assurance	10	10	Health and Safety	10	0	0	0	10		
Business Continuity	Assurance	15	15	Business Continuity	15	15	0	0	0	Fieldwork	Draft
Total		315	305		305	55	35	100	115		
Total Service specific audits	Type of engagement	315 Original audit plan	Revised audit	Assignment	305 Days	55	35	100	115		
		Original audit		Assignment Partnerships and collaborative governance	20	20	0	0	0		
	engagement	Original audit	Revised audit	Assignment Partnerships and collaborative governance Commissioning and Procurement	20 20	20	0	0	0		
		Original audit	Revised audit	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting	20 20 20 20	20	0 0 20	0 20 0	0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement	20 20	20 20 0 0	0 0 20 0	0 20 0 0	0 0 0 20		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting	20 20 20 20 20 20 20 20 20	20 20 0 0 0 0	0 0 20	0 20 0 0 0	0 0 0 20 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money	20 20 20 20 20 20 20	20 20 0 0	0 0 20 0	0 20 0 0	0 0 0 20		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements	20 20 20 20 20 20 20 20 20	20 20 0 0 0 0	0 0 20 0 10	0 20 0 0 0	0 0 0 20 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Folds we paudits	20 20 20 20 20 10 10 10	20 20 0 0 0 0 0 2.5	0 0 20 0 10 10 2.5	0 0 0 0 0 0 0 2.5	0 0 20 0 2.5		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Folod visk management Folod visk analysis addits Payroll issues - additional payments Payroll issues - additional payments	20 20 20 20 20 20 20 20 20 10 10 10	20 20 0 0 0 0 2.5 0	0 0 20 0 10 10 2.5 10	0 20 0 0 0 0 0 2.5 0	0 0 0 20 0 0 2.5 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Follow up audits Payroll issues - additional payments Weighbridge	20 20 20 20 20 10 10 10 10 10 10	20 0 0 0 0 0 0 0 0 0 0 10	0 20 0 10 10 2.5 10 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 20 0 0 2.5 0 0 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Folood risk management Folod risk management Follow up audits Payroll issues - additional payments Weighbridge Stock checks (CTS)	20 20 20 20 20 20 20 10 10 10 10 10 5	20 20 0 0 0 0 2.5 0 10 0 0	0 0 20 0 10 10 2.5 10 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 5	0 0 20 0 2.5 0 0 0 0 0 0 0 0 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Follow up audits Payroll issues - additional payments Weighbridge Stock checks (CTS) Asset Management	20 20 20 20 20 20 20 10 10 10 10 10 10 10 10	20 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 20 0 10 2.5 10 0 0 0 0	0 0 0 0 0 0 2.5 0 0 0 5 10	0 0 20 0 2.5 0 0 0 0 0 0 0 0 0 0 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Follow up audits Payroll issue - additional payments Weighbridge Stock checks (CTS) Asset Management To be allocated following further discussion with Director	20 20 20 20 20 10 10 10 10 10 10 10 10 10 45	20 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 20 0 10 10 2.5 10 0 0 0 20	0 0 0 0 0 0 0 0 0 0 0 0 5 5 10 5	0 0 20 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Follow up audits Payroll issues - additional payments Weighbridge Stock checks (CTS) Asset Management To be allocated following further discussion with Director Commissioning and Procurement	20 20 20 20 20 20 20 20 10 10 10 10 10 10 10 10 10 10 25 10 45 0	20 20 0 0 0 0 0 0 0 0 0 0 0 0 0	0 20 0 10 10 2.5 10 0 0 0 0 0 0 0 0 0 0 0 0 0	20 0 0 0 0 2.5 0 0 0 5 10 5 0 0	0 0 0 20 0 0 2.5 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Final report issued	
Service specific audits	engagement	Original audit plan	Revised audit plan	Assignment Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Section 106 agreements Flood risk management Follow up audits Payroll issue - additional payments Weighbridge Stock checks (CTS) Asset Management To be allocated following further discussion with Director	20 20 20 20 20 10 10 10 10 10 10 10 10 10 45	20 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 20 0 10 10 2.5 10 0 0 0 20	0 0 0 0 0 0 0 0 0 0 0 0 5 5 10 5	0 0 20 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Final report issued Final report issued Final report issued	

Housing and Communities	Assurance	150	150	Partnerships and collaborative governance Commissioning and Procurement Budgeting and forecasting Value for Money Lettings poly for high rise accommodation Independent Living (link with Social Services?) Regional Partnership Board Follow up audits Asset Management To be allocated following further discussion with Director Communities First Commissioning and Procurement Effective Decision Making	25 20 25 20 10 10 15 10 10 5 0 0 0 0	25 0 0 0 0 0 2.5 0 0 0 1.25 0 0 0	0 25 0 10 0 2.5 0 1.25 0 0 0 0	0 20 0 0 0 0 0 0 0 0 0 0 0 0 0 1.25 0 0 0	0 0 20 10 15 2.5 0 1.25 0 0 0		Planning	Draft report issued Final report issued
				Partnerships and collaborative governance	10	10	0	0	0		Fieldwork	
Economic Development	Assurance	90	100	Commissioning and Procurement Budgeting and forecasting Value for Money Park and Ride - Events	10 15 10 10	0 0 0 10	0 15 0	10 0 0	0 0 10 0	-	Not yet started	Draft
				Follow up audits Income collection / fees and charges Front of House (Cardiff Castle) Sports Joint Venture Asset Management Commissioning and Procurement FMIT	5 10 5 15 10 0 0	1.25 0 0 0 0 0 0	1.25 10 0 0 0 0 0	1.25 0 5 15 10 0	1.25 0 0 0 0 0 0	-	Final report issued Final report issued	
				Commercial Services Effective Decision Making Governance	0	0 0 0	0	0	0	-	Draft report issued Final report issued	Draft Final report issued
				Partnerships and collaborative governance	25	25	0	0	0		Planning	

Increase					1		1					, ,		
Intracene Appendix					Commissioning and Procurement	25		0	0	25	0			
Hadden Normal Participant Paricipant Pari					Budgeting and forecasting		J							
Revent Participant Paritipant Participant <th< td=""><td></td><td></td><td></td><td></td><td>Value for Money</td><td>25</td><td></td><td>0</td><td>0</td><td>0</td><td>25</td><td></td><td></td><td></td></th<>					Value for Money	25		0	0	0	25			
In some Annue <					ICT Governance	25		25	0	0	0			
In some Annue <							1					1		
$ \left[$							1					1		
Access Bases Bases <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>														
Exaction Annow 120 <th< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th<>														
Image: Solution of the	Education	Assurance	350	350										
Image: Section of the secting of the secting of the sectin														
Image: state of the s												-		
Image: series in the												-	Fieldwork	
Image: state of local isolation in the local isolation isolation in the local isolation isolatito isolatite isolation isolation isolation isolation isolation iso														
Image: state of the s						-		-						
Image: constraint of particular straints based on the sector of						5								
Image: state in the state is a state is the interview of the state is a state is the interview of the state is a state is the interview of the state is a state is the interview of the state is a state state is a state i					Pontprennau	5		5	0	0	0			
Image: state in the s					Ysgol Coed y Gof	5		0	5	0	0			
Image: stand sector is the stand sector is					Provision of training to schools	45		19	24	0	2			
Image: stand sector is the stand sector is					Income processes (Education)	15	1	10	5	0	0		Fieldwork	
Image: series Aures 50 50 50 50 50 60 60 Resortes 50 50 50 50 60 </td <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>0</td> <td>10</td> <td>0</td> <td></td> <td></td> <td></td>									0	10	0			
Image: Service: Science (and sectorize) 202 (Mode Service: Segrethere (and sectorize) 202 (Description) 0							1							
Image: Service Austrance Total Service Total Servi													Ongoing	
Musc Socie 0 <th0< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td> </td><td></td><td></td></th0<>														
Environme Environme 0										-	-			
Image: conversion of log is not conventing (horizonation) 0														
Image: construction of float price is solubility of Post rest is and collaborative governance 10 0														
Image: Partnerships and collaborative governance 15 13 0 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>														
Governance and Lepil Services Assume 50 <t< td=""><td></td><td></td><td></td><td></td><td>Commissioning and Procurement (Tongwynlais)</td><td>0</td><td></td><td>0</td><td>0</td><td>0</td><td>0</td><td></td><td>Final report issued</td><td></td></t<>					Commissioning and Procurement (Tongwynlais)	0		0	0	0	0		Final report issued	
Sovernance and Legal Services Aurana 50 Pail definition and rescaring 15 0 <					Partnerships and collaborative governance	15		15	0	0	0		Final report issued	
Sovernance and Legal Services Aurana 50 Pail definition and rescaring 15 0 <					Commissioning and Procurement	10		0	0	10	0		-	
Outstands Sol Sol Sol Value for Money L0 Dot 0														
Severance O	Governance and Legal Services	Assurance	50	50										
Image: second groupers I													Final report issued	
Image: contract in the second seco													Filial report issued	
Resources Assume 50 50 50 50 Fatherships and collaborative governance 10 10 0												-		
Resources Assume 50 <td></td> <td></td> <td></td> <td></td> <td>commissioning and Procurement</td> <td>U</td> <td></td> <td>0</td> <td>U</td> <td>0</td> <td>U</td> <td>-</td> <td></td> <td></td>					commissioning and Procurement	U		0	U	0	U	-		
Budgeting and forecasting. 15 0	Resources	Assurance	50	50	Partnerships and collaborative governance	10		10	0	0	0		Not yet started	
Value for Money 10 0 0 0 10 0 0 10 0					Commissioning and Procurement	15	1	0	0	15	0			
Value for Money 10 0 0 0 0 10 0 0 10 0					Budgeting and forecasting	15	1	0	15	0	0			
Image: constraint of the second se]	0	0	0	10	1		
Image: constraint of the second se					Commissioning and Procurement	0	1	0	0	0	0	1	Final report issued	
Social Services Assurance 320 320 Commissioning and Procurement 25 0 0 0 0 Fieldwork							1					1		
					Partnerships and collaborative governance	25		25	0	0	0		Fieldwork	
	Social Services	Assurance	320	320										

				Value for Money	25
				Regional Social Care Training Unit	15
				Commissioning of independent investigations	20
				Domiciliary care	15
				Follow up audits	10
				Safeguarding arrangements	20
				Asset Management	10
				To be allocated following further discussion with Director (following appointment)	130
				Commissioning and Procurement	0
				Governance	0
				Effective Decision Making	0
Total		1.200	1.210		1,210
i o tai	Type of	Original audit	Revised audit		1,210
External	engagement	plan	plan	Assignment	Days
				Cardiff Further Education Trust Fund	2
				Joint committees (Glamorgan Archives, Prosiect Gwyrdd and Port Health)	10
External clients	Assurance	10	12	WLGA	5
External clients			13	City Deal 2017/18	0
				City Deal 2018/19	5
				Rent Smart Wales - training	3
				Norwegian Church Trust Fund	0
				Other grants	8
Grants	Assurance	20	20	Education Improvement Grant	0
				Flying Start	0
Total		30	33		33
Contingencies	Type of engagement	Original audit plan	Revised audit plan	Assignment	Days
General Audit		30	28	General Audit	15
			-	National Fraud Initiative	13
Total		30	28		28
Management	Type of	Original audit	Revised audit	Assignment	Days
Support for Audit Committee / liaison with WAO	engagement Consultation	plan	plan 50	Support for Audit Committee / liaison with WAO	
Assurance mapping	Consultation	50 10	10	Assurance mapping	50 10
CRSA development	Consultation	20	20	CRSA development	20
Work requested by Audit Manager	Consultation	20	20	Work requested by Audit Manager	20
Audit Planning and monitoring	Consultation	20	20	Audit Planning and monitoring	20
		20	20	Process Development	20
	Consultation				20
Process Development	Consultation			Review of Financial Rules etc	
Process Development Review of Financial Rules etc Consultancy - advice and guidance	Consultation Consultation Consultation	40	40	Review of Financial Rules etc Consultancy - advice and guidance	40

0	0	0	25	
0	0	0	15	
0	20	0	0	
15	0	0	0	
2.5	2.5	2.5	2.5	
0	20	0	0	
0	0	10	0	
30	40	20	40	
0	0	0	0	
0	0	0	0	
0	0	0	0	
296.5	356.5	281.5	275.5	
0	0	0	2	
10	0	0	0	
5	0	0	0	
0	0	0	0	
0	1	2	2	
0	2.5	0	0	
0	0	0	0	
3.5	2.5	1.5	0.5	
0	0	0	0	
0	0	0	0	
18.5	6	3.5	4.5	
0	0	7.5	7.5	
12.5	0	0	0	
12.5	0	7.5	7.5	
12.5	12.5	12.5	12.5	
2.5	2.5	2.5	2.5	
5	5	5	5	
5	5	5	5	L
5	5	5	5	
5	5	5	5	
10	10	10	10	
45	45	45	45	
523	523	528	528	

Not yet started	
Ongoing	
Final report issued	
Final report issued	
Final report issued	
Fieldwork	Final report issued
Draft report issued	
Draft report issued	Final report issued
Diait report issued	Final report issued
	Training delivered
Final report issued	Training delivered
Tillarreportissued	
Fieldwork	Final report issued
Ongoing	Ongoing
Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing
Continuous and ongoing	Continuous and ongoing
Continuous and annai	Continuous and an
Continuous and ongoing	Continuous and ongoing

523 523 528 528 525 525 525 525